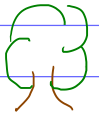




Bass - Serre theory

A journey into the forest



Plan of the lectures:

0/ Motivations

I/ Amalgamated free products

- 1) Normal forms
- 2) The Bass-Serre tree of an amalgamated free product
- 3) Examples and applications

II/ Structure of a group acting on a tree

- 1) Graphs of groups
- 2) Fundamental group of a graph of groups
- 3) Reduced words
- 4) Universal cover of a graph of groups
- 5) Structure theorem

III/ Some applications

- 1) Kurosh's theorem
- 2) Finite graphs of finite groups
- 3) Grushko's theorem

References : - Arbore, amalgames, SL_2 , J-P. Serre
- lectures given by Lymer at the summer school "Geometric group theory without boundary" (July 2021)
- Topological methods in group theory, Scott-Wall

0 / Motivations

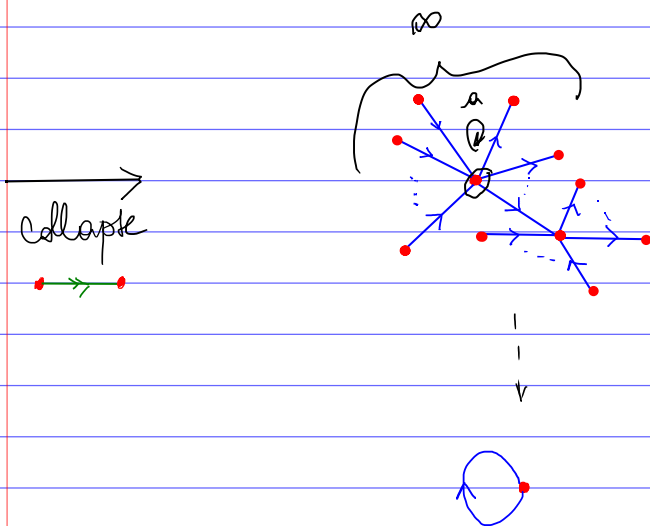
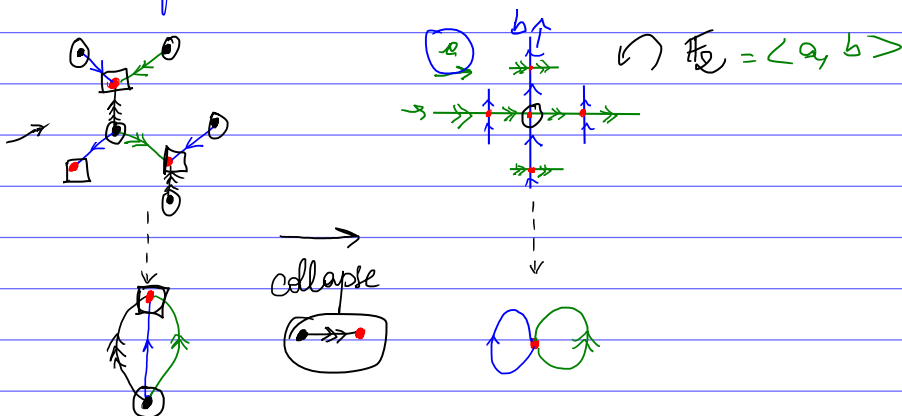
→ Studying groups via their actions on geometric spaces

1-dimensional object: trees!

Th: A group is free iff it acts freely on a tree.

Cor (Nielsen-Schreier): A subgroup of a free group is free.

Ex:

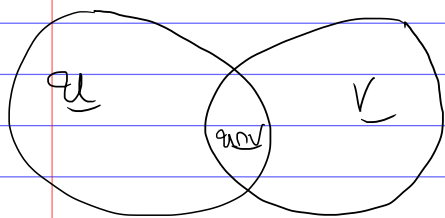


Q: What happens if the action is not free?

→ Bass-Serre theory tells us that only certain groups can act on trees with prescribed vertex and edge stabilizers.

Why are trees interesting?

~ Von Kampen's Theorem!



$$\Rightarrow \pi_1(X) = \pi_1(u) *_{\pi_1(u \cap v)} \pi_1(v)$$

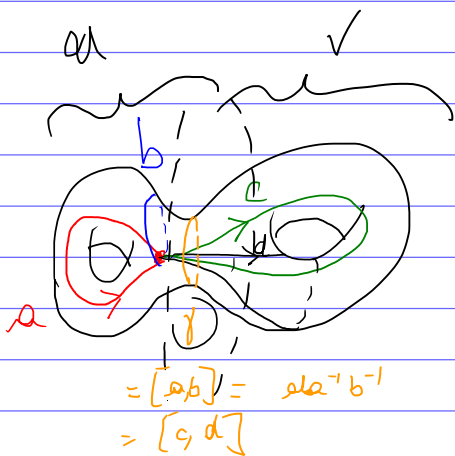
$\rightarrow X$

Def : A_1, A_2, B groups, $i_1: B \rightarrow A_1$
 $i_2: B \rightarrow A_2$
 morphisms.

$$A_1 *_B A_2 = \langle A_1, A_2 \mid R_{A_1}, R_{A_2}, i_1(b) i_2(b)^{-1} \forall b \in B \rangle$$

Ex :

Σ_2

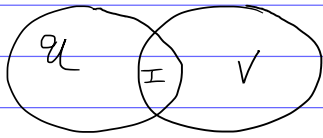


$$\pi_1(\Sigma_2) = \pi_1(u) *_{\pi_1(u \cap v)} \pi_1(v)$$

$\begin{matrix} \text{"} \\ \langle a, b \rangle \\ \text{"} \\ \mathbb{F}_2 \end{matrix} \quad \begin{matrix} \text{"} \\ \pi_1(u \cap v) \\ \text{"} \\ \langle c, d \rangle \\ \text{"} \\ \mathbb{F}_2 \end{matrix}$

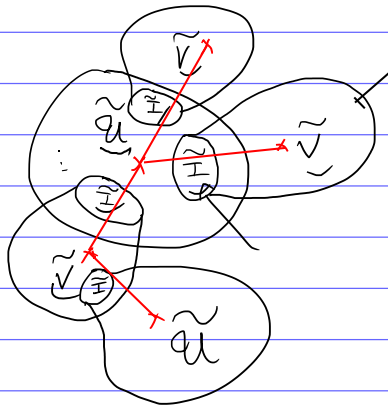
$$= \langle a, b, c, d \mid [a, b] = [c, d] \rangle$$

$X =$



with $\pi_1(I) \hookrightarrow \pi_1(u), \pi_1(v)$

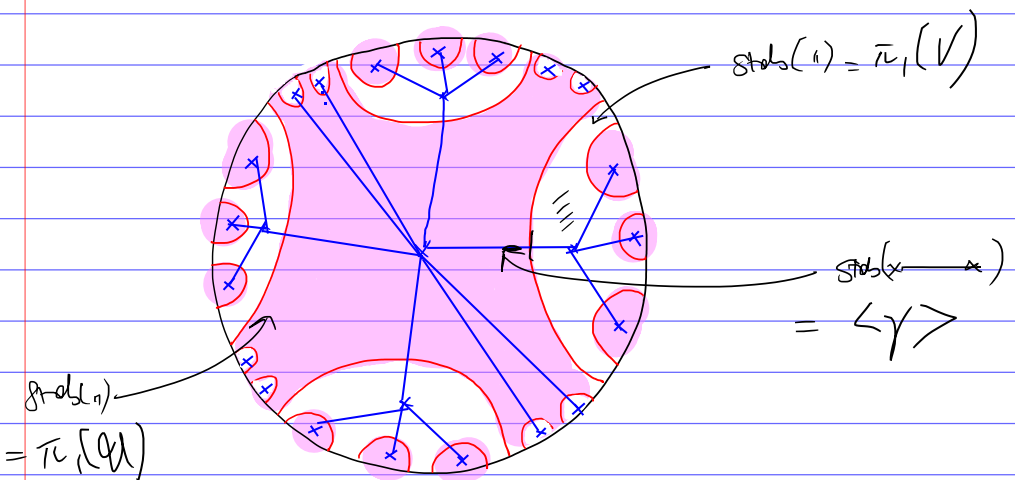
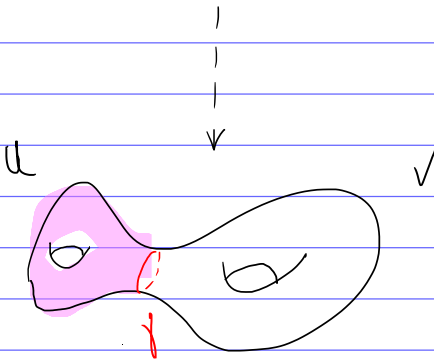
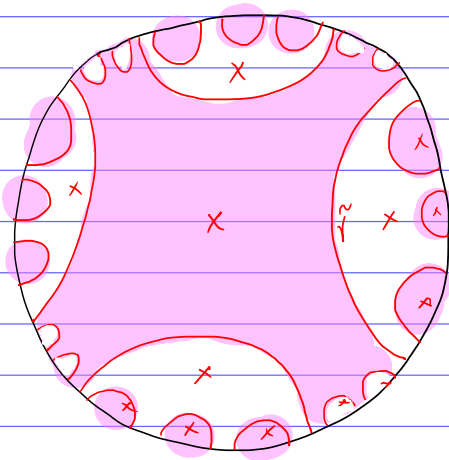
$\Rightarrow \tilde{X} =$



"collapsing $\tilde{u}$ and $\tilde{v}$ leads to a tree on $\pi_1(X)$ acts"

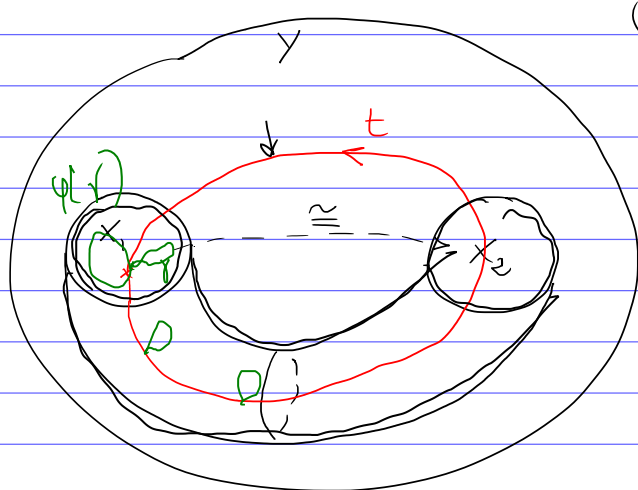
Ex:

$\mathbb{H}^2$



What if the curve is not separating?

$X =$



$$\pi_1(X) = \langle \pi_1(Y), t \mid \mathcal{R}_{\pi_1(Y)}, t\gamma t^{-1} = \varphi(\gamma), \forall \gamma \in \pi_1(X_1) \rangle$$

$$\varphi: \pi_1(X_1) \hookrightarrow$$

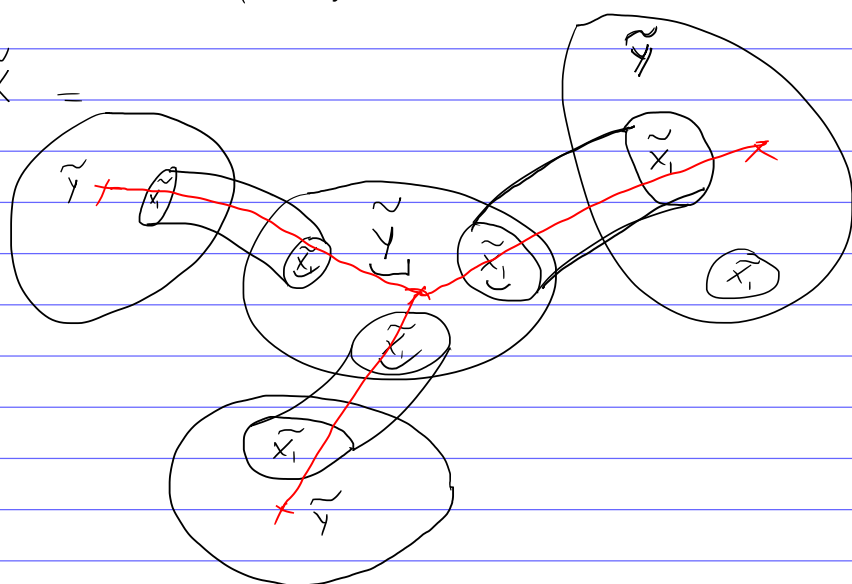
Def: G group, $H, K \leq G$
 $\varphi: H \rightarrow K$ isomorphism

$$G *_\varphi = \langle G, t \mid \mathcal{R}_G, t h t^{-1} = \varphi(h) \mid h \in H \rangle$$

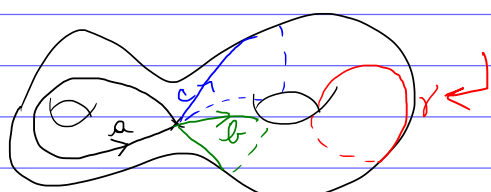
"Conjugate the subgroups of G that are isomorphic"

$$\pi_1(X_1) \hookrightarrow \pi_1(X)$$

$\tilde{X} =$

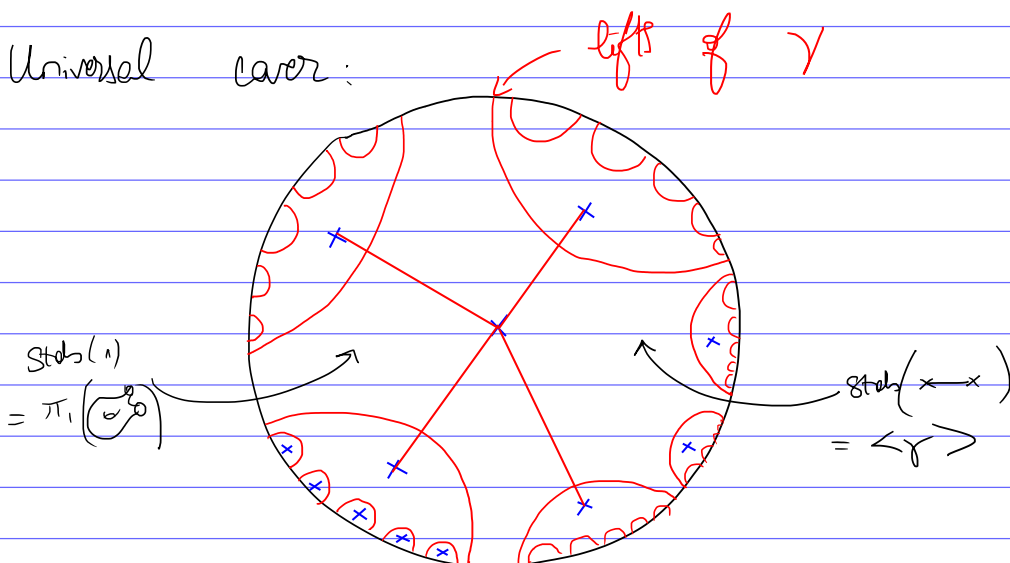


Ex:



$$\pi_1(\Sigma_2) = \pi_1 \left(\left(\text{Diagram} \right) * \langle \gamma \rangle \right) = \langle a, b, c, t \mid t b t^{-1} = c \rangle$$

Universal cover:



"Philosophy of Bass-Serre theory": groups acting nicely on trees SPLIT as amalgamated free products of vertex stabilizers over edge stabilizers.

I / Amalgamated free products

Def: A_1, A_2, B groups, $\begin{cases} B \xrightarrow{i_1} A_1 \\ B \xrightarrow{i_2} A_2 \end{cases}$ injections.

$$A_1 *_B A_2 = A_1 \star A_2 / \langle i_1(b) i_2(b)^{-1} \forall b \in B \rangle$$

1) Normal forms

$$\sqrt{2} = \langle a, b \rangle$$

$$x = a \quad b \quad a_{h_2}$$

$$G = A_1 \ast B A_2$$

S_i set of representatives of $B \setminus A_i$.

Not: $f_i: A_i \rightarrow G$
 $f: B \rightarrow G$

⚠ It turns out that these morphisms are injective, but this is not trivial!

Def: A reduced word of type $(\underline{\epsilon}_1, \dots, \underline{\epsilon}_R)$ is

a $(k+1)$ -uple $x = (b; s_1, \dots, s_k)$, where

- $\varepsilon_i \in \{1, 2\} \quad \forall i;$
- $\varepsilon_i \neq \varepsilon_{i+1};$
- $b \in B;$
- $s_i \in S_i \setminus \{1\}.$

$$A_i = \bigcup_{s \in S_i} B_s$$

The element of G associated to x is

$$\beta(x) := f(b) f_{\varepsilon_1}(s_1) - f_{\varepsilon_k}(s_k).$$

Theorem: let $g \in G$. There exists a unique reduced word m such that $g = \beta(m)$

Proof: The non trivial part is the uniqueness.

$X = \{ \text{reduced words} \}$

$Y_1 = \{ \text{reduced words } (1; -) \text{ of type } (2, 1, -) \}$

$Y_2 = \{ \text{reduced words } (1; -) \text{ of type } (1, 2, -) \}$

Goal: Build $G \curvearrowright X$.

Obs: $X \curvearrowright Y_i \times A_i$

$$\left[\begin{array}{l} (b, s_i, x_1, x_2, -) \leftrightarrow (1, \overset{A_i}{x_1}, x_2, -), \quad b \overset{B}{s_i} \underset{\neq 1}{\neq} 1 \\ (b, x_1, x_2, -) \leftrightarrow (1, x_1, x_2, -), \quad b \underset{\neq 1}{\neq} 1 \end{array} \right]$$

$A_i \curvearrowright Y_i \times A_i$ by $a \cdot (y, a') = (y, aa')$

$\Rightarrow A_i \curvearrowright X$ and the induced action is $b \cdot (b'; x_1, -) = (bb', x_1, -)$

which does not depend on i .

$\Rightarrow$ Defines a unique action $G \curvearrowright X$.

Obs: $\beta(\overset{\text{reduced}}{m}) \cdot (1; \emptyset) = m$ (induction)

$\Rightarrow \beta$ is injective

Corollary: $(i_j, -) \in \{1, 2\}^R$ st $i_j \neq i_{j+h} \forall j$. Then:

$$b \underset{\in A_{i_j} \setminus B}{\overset{A_{i_j}}{a_{i_j}}} \underset{\in A_{i_{j+h}} \setminus B}{\overset{A_{i_{j+h}}}{a_{i_{j+h}}}} \neq 1. \quad \left(\text{except when } b=1 \text{ and } h=0 \right)$$

Corollary: $A_i \hookrightarrow G$.

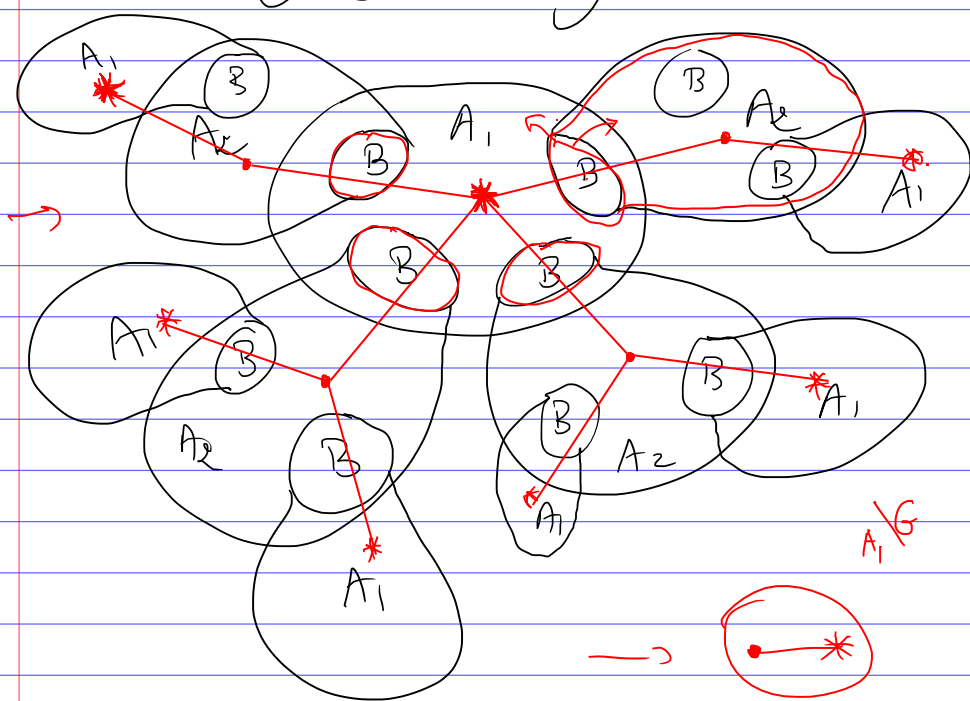
Corollary: A finite order element is conjugate to an element of A_1 or of A_2 .

Proof: $g \in G$ torsion element. Up to conjugating, we can assume that g is of type $(i_1, \dots, i_k)$ with $\underline{i_k \neq i_1}$.

$\Rightarrow g^n$ of type $(\underbrace{i_1, \dots, i_k, i_1, \dots, i_k, \dots, i_1, \dots, i_k}_{n \text{ times}})$, hence $\neq 1$.

2) The Bass-Serre tree of an amalgamated free product

Idea: Cayley graph of $A_1 *_B A_2$:



A circuit $\Leftrightarrow$ theorem on normal forms.

Theorem 1: Let $G \curvearrowright X$ graph and let $\begin{pmatrix} P & Q \\ \cdot & \cdot \\ y & \cdot \end{pmatrix}$ be a fundamental domain.

Let: $G_P = \text{stab } P$, $G_Q = \text{stab } Q$, $G_y = \text{stab } y$.

TFAE:

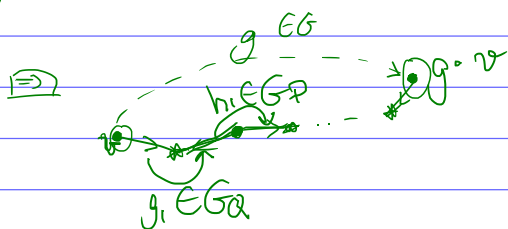
- X is a tree;
- The homomorphism $G_P *_G G_Q \rightarrow G$ induced by

$$\begin{cases} G_P \hookrightarrow G \\ G_Q \hookrightarrow G \end{cases}$$
 is an isomorphism.

$$\begin{matrix} G_P & \hookrightarrow & G \\ & \searrow & \uparrow \\ & & G_Q \end{matrix}$$

Proof:

1) X is connected $\Leftrightarrow G_P, G_Q$ generate G .



$$\begin{aligned} \Rightarrow g \cdot v &= g_1 h_1 \dots h_k g_k v \\ &\quad \underbrace{\hspace{1.5cm}}_{h \in \langle G_P, G_Q \rangle} \\ \Rightarrow h^{-1} g v &= v \Rightarrow h^{-1} g \in G_P \\ \Rightarrow g &\in \langle G_P, G_Q \rangle. \end{aligned}$$

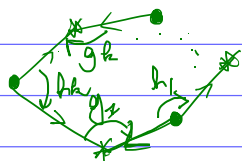
$\Leftarrow$

with $g = g_1 h_1 \dots g_k h_k$
 $\underbrace{g_1}_{\in G_Q} \underbrace{h_1}_{\in G_P} \dots \underbrace{g_k}_{\in G_Q} \underbrace{h_k}_{\in G_P}$

2) X has no circuit $\Leftrightarrow G_P *_{G_Y} G_Q \hookrightarrow G$.

X has a circuit

$\Leftrightarrow \exists$



$$\Rightarrow \exists \begin{cases} g_i \in G_Q \setminus G_Y \\ h_i \in G_P \setminus G_Y \end{cases}, \quad g_1 h_1 \dots g_k h_k v = v$$

i.e. $g_1 h_1 \dots g_k h_k \in G_Y$

$$\Leftrightarrow G_P *_{G_Y} G_Q \hookrightarrow G \text{ (normal forms)}$$

Corollary $G \curvearrowright \Upsilon$ tree with quotient
 $\simeq \mathbb{F}_q^* \leq T$

$$\Rightarrow G \simeq G_P *_{G_Y} G_Q.$$

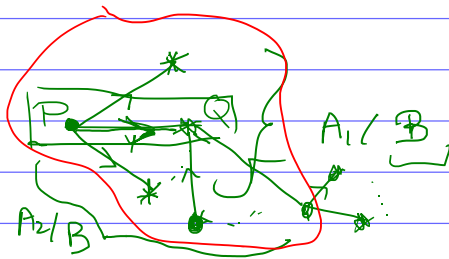
Theorem: let $G = A_1 \ast_B A_2$ be an amalgamated free product. There exists a (unique up to unique isomorphism) tree T on which G acts with fundamental domain



- Stab $P = A_1$
- Stab $Q = A_2$
- Stab $y = B$.

Proof:

Uniqueness:



T graph. vertices: $G/A_1 \sqcup G/A_2$
 (type $\bullet$) (type $\ast$)

Edges: $G/B \sqcup G/B$

Exercise: T is a tree by the previous theorem.



3) Examples and applications

a) One example: $SL_2(\mathbb{Z})$

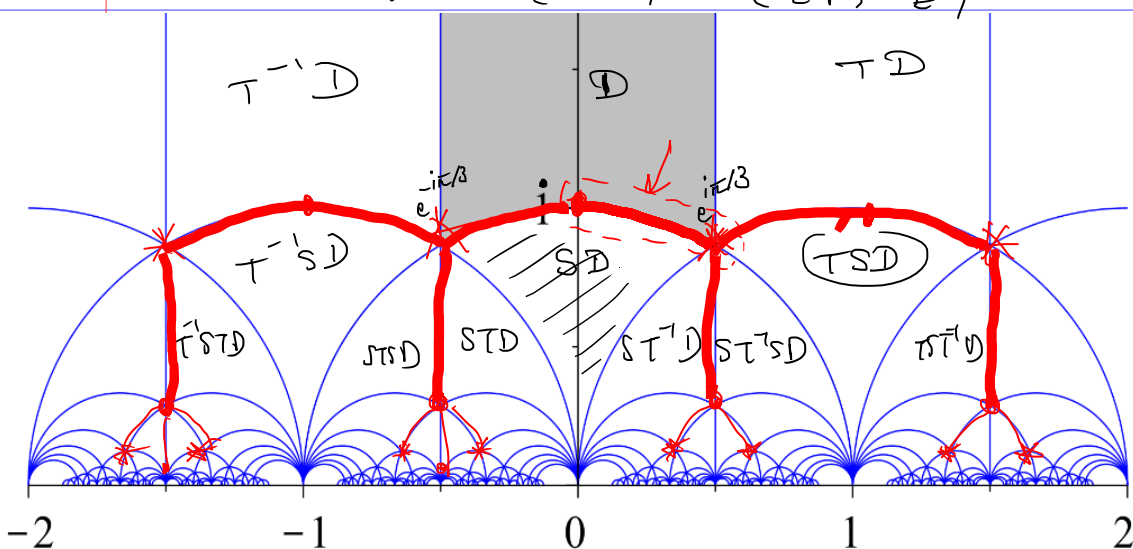
$$SL_2(\mathbb{Z}) \curvearrowright H^1 \\ \{z \in \mathbb{C} \mid \text{Im } z > 0\}$$

$$\text{via } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot z = \frac{az + b}{cz + d}$$

fund. dom. $\mathcal{D}$ for the action:

$$\begin{cases} T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \\ S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \end{cases}$$

$$\begin{cases} \mathbb{H} \rightarrow \mathbb{H} \\ z \mapsto z+1 \\ \mathbb{H} \rightarrow \mathbb{H} \\ z \mapsto -\frac{1}{z} \end{cases}$$



Prop - Serre theory $\Rightarrow \text{Stab}_i = \text{Stab}_i * \text{Stab}_{e^{i\pi/3}}$
 $\text{Stab}_i \text{ mod } \mathbb{Z}$

$$\text{Stab}_i = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \mid \frac{ai+b}{ci+d} = i \right\}$$

$$= \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right\}$$

$$= \left\langle \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\rangle$$

$$\simeq \mathbb{Z}/4\mathbb{Z}$$

$$\text{Stab}_{e^{i\pi/3}} = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \mid \frac{ae^{i\pi/3}+b}{ce^{i\pi/3}+d} = e^{i\pi/3} \right\}$$

$\underbrace{\hspace{10em}}_{\substack{\uparrow \\ ce^{2i\pi/3} + (d-a)e^{i\pi/3} - b = 0}}$

$$= \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \mid d-a = -b = c \right\}$$

$\left(\mu_{e^{i\pi/3}}^{\text{mod } \mathbb{Q}}(x) = x^2 + x + 1 \right)$

$$= \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}, \right. \\ \left. \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ 1 & 0 \end{pmatrix} \right\}$$

$$= \left\langle \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} \right\rangle \\ \simeq \mathbb{Z}/6\mathbb{Z}$$

$$\bullet \text{ Stab}_i \cap \text{Stab}_{e^{i\pi/3}} = \left\langle \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\rangle \simeq \mathbb{Z}/2\mathbb{Z}$$

$$\leadsto \boxed{SL_2(\mathbb{Z}) = \mathbb{Z}/6\mathbb{Z} *_{\mathbb{Z}/2\mathbb{Z}} \mathbb{Z}/4\mathbb{Z} \\ = \langle a, b \mid a^6, b^4, a^3 b^{-2} \rangle.}$$

b) Some consequences

Prop: $\Gamma \leq A_1 *_{\mathbb{B}} A_2$ st $\Gamma \setminus \{1\}$ does not meet any conjugate of G_1 or G_2 . Then Γ is free.

Proof: Γ acts freely on the Bass-Serre tree of $A_1 *_{\mathbb{B}} A_2$.

Th: Any bounded subgroup of $A_1 *_{\mathbb{B}} A_2$ is contained in a conjugate of A_1 or A_2 .

Proof: Γ bounded subgroup, v vertex of the Bass-Serre tree T . $\Gamma \cdot v$ is bounded.

$\Rightarrow \text{Conv}(\Gamma \cdot v)$ is a finite subtree of T , on which Γ acts.

$\Rightarrow \exists$ a global fixed point in $\text{Conv}(\Gamma \cdot v)$

$\Rightarrow \Gamma$ fixes a vertex of T .

Cor: Any finite subgroup of $A_1 \rtimes B A_2$ is contained in a conjugate of A_1 or A_2 .

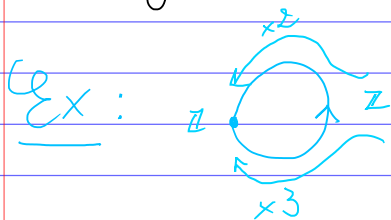
Proof: Any finite subgroup is bounded. ~~in~~

II / Structure of a group acting on a tree

1) Graphs of groups

Def: A graph of groups (G, Y) is the data of:

- Y an oriented graph;
- $\forall v \in v(Y), G_v$ a group
- $\forall y \in e(Y), G_y = G_{\bar{y}}$ a group and $\iota_y: G_y \hookrightarrow G_{t(y)}$



Not: if $a \in G_y, \iota_y(a) := a$

$$F(G, Y) = \ast_{v \in v(Y)} G_v \ast \langle y, y \in e(Y) \rangle$$

$$\left\langle \bar{y} = \bar{y}^{-1}, y a^y y^{-1} = a^{\bar{y}} \right\rangle$$

Ex:

$$F(G, Y) = \langle a, y \mid y a^2 y^{-1} = a^3 \rangle$$

$$F(G, Y) = \langle a, b, y \mid y a^2 y^{-1} = b^3 \rangle$$

$y_i \pi_i y_n$

Def: $(y_1 \rightarrow y_k)$ an edge path of γ

(i.e. $\sigma(y_i) = t(y_i) \forall i$). A word of type

$c = (y_1 \rightarrow y_k)$ is a couple (c, μ) where

$\mu = (\pi_0, \dots, \pi_k)$, with $\pi_i \in G_{P_i} \forall i$.

The associated element of $F(G, \gamma)$ is

$$|c, \mu| = \pi_0 y_1 \pi_1 y_2 \dots y_k \pi_k.$$

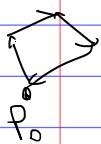
$$\begin{array}{c} y_1 \xrightarrow{\pi_1} y_2 \xrightarrow{\pi_2} y_3 \\ \pi_0 \quad \pi_1 \quad \pi_2 \quad \pi_3 \end{array} \rightsquigarrow \pi_0 y_1 \pi_1 y_2 \pi_2 y_3 \pi_3$$

2) Fundamental group of a graph of groups

Two equivalent definitions of the fundamental group of a graph of groups (G, γ) :

a) Choose a base point $P_0 \in V(\gamma)$.

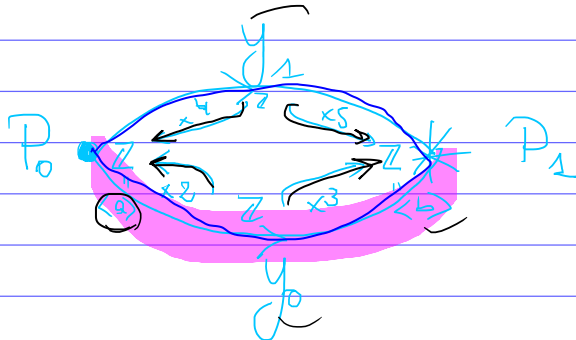
Define $\pi_1(G, \gamma, P_0) = \langle |c, \mu|, (c, \mu) \text{ word of type } (y_1 \rightarrow y_k) \text{ with } \sigma(y_i) = t(y_k) = P_0 \rangle$



b) Choose a spanning tree T of γ

Define $\pi_1(G, \gamma, T) = F(G, \gamma) / \langle\langle y, y \in T \rangle\rangle$

Ex:



$$F(G, Y) = \langle a, b, y_0, y_1 \mid y_0 a^2 y_0^{-1} = b^3, y_1 a^4 y_1^{-1} = b^5 \rangle$$

VI

$$\begin{aligned} \pi_1(G, Y, P_0) &= \langle a, y_i b^k y_i^{-1}, k \in \mathbb{Z} \rangle \\ \pi_1(G, Y, T) &= F(G, Y) / \langle\langle y_0 \rangle\rangle \\ &= \langle a, b, y_1 \mid a^2 = b^3, y_1 a^4 y_1^{-1} = b^5 \rangle \end{aligned}$$

Theorem: The restriction of the projection $F(G, Y) \xrightarrow{\pi} \pi_1(G, Y, T)$ induces an isomorphism between $\pi_1(G, Y, P_0)$ and $\pi_1(G, Y, T)$.

Proof: We will define the inverse map

$$\pi_1(G, Y, T) \longrightarrow \pi_1(G, Y, P_0).$$

$$- p \in \mathcal{O}(Y) \rightsquigarrow \exists! \text{ edge path } y_0^{(p)} \rightarrow y_p^{(p)} \text{ in } T, \begin{cases} \sigma(y_0) = P_0 \\ \tau(y_p) = P \end{cases}$$

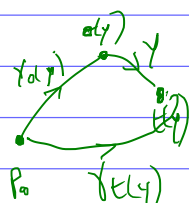
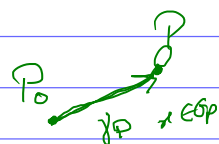
$$\text{Not: } \gamma_p := y_0^{(p)} \rightarrow y_p^{(p)} \in F(G, Y)$$

Let

$$\pi_1(G, Y, T) \xrightarrow{\varphi} \pi_1(G, Y, P_0)$$

$$x \in G_p \longmapsto \gamma_p x \gamma_p^{-1}$$

$$y \in \mathcal{E}(Y) \longmapsto \gamma_0(y) y \gamma_0(y)^{-1}$$



$\mathcal{A}$ is well-defined:

$\vec{P}_0 \xrightarrow{\gamma(y)} \vec{P}_1 \xrightarrow{\gamma(y)^{-1}} \vec{P}_0$

$y \in T \Rightarrow \varphi(y) = 1$

$\gamma(y) \gamma^{-1} = 1$

and for every $a \in G_y$:

$\varphi(a^y) = \gamma(y) a^y \gamma(y)^{-1}$

$G(y) = \gamma(y) \underbrace{y a^y y^{-1}}_a \gamma(y)^{-1}$

$= \gamma(y) a^y \gamma(y)^{-1}$

$= \varphi(a^y)$

$y \notin T \Rightarrow \forall a \in G_y,$

$\varphi(y) \varphi(a^y) \varphi(y)^{-1} = (\gamma(y) y \gamma(y)^{-1}) (\gamma(y) a^y \gamma(y)^{-1}) (\gamma(y) y \gamma(y)^{-1})^{-1}$

$= \gamma(y) \underbrace{y a^y y^{-1}}_{a^y} \gamma(y)^{-1}$

$= \gamma(y) a^y \gamma(y)^{-1}$

$= \varphi(a^y)$

$\pi \circ \varphi(\underline{x}) = \underbrace{\pi(\gamma_P)}_{=1} x \underbrace{\pi(\gamma_P)^{-1}}_{=1}$

$\pi \circ \varphi(y) = \underbrace{\pi(\gamma(y))}_{=1} y \underbrace{\pi(\gamma(y)^{-1})}_{=1} = y$

$(c, y) = ((y_0, \dots, y_n), (x_0, \dots, x_n))$ with $t(y_n) = o(y_0) = P_0$
 $P_i := t(y_i)$

$|c, y| = x_0 y_0 x_1 y_1 \dots x_n y_n$

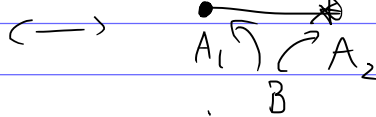
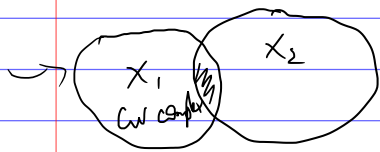
$\varphi_{\pi}([c, y]) = (\gamma_{P_0} x_0 \gamma_{P_0}^{-1}) (\gamma_{P_1} y_0 \gamma_{P_1}^{-1}) (\gamma_{P_1} x_1 \gamma_{P_1}^{-1}) (\gamma_{P_2} y_1 \gamma_{P_2}^{-1}) \dots (\gamma_{P_n} x_n \gamma_{P_n}^{-1})$
 $= [c, y]$

Corollary: - $\pi_1(G, \gamma, P_0)$ doesn't depend on the choice of P_0 ;

- $\pi_1(G, \gamma, T)$ doesn't depend on the choice of T .

End of the first lecture

Why "fundamental group"?

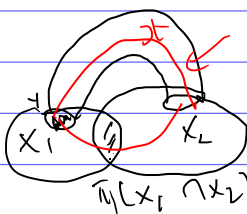


$\pi_1(X_i) = A_i$

$\pi_1(B) = \pi_1(X_1 \cap X_2)$

Scott Wall

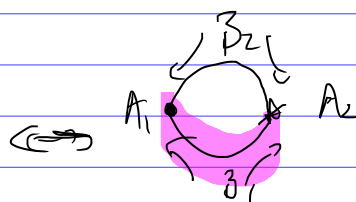
$\pi_1(Y) = B_2$



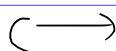
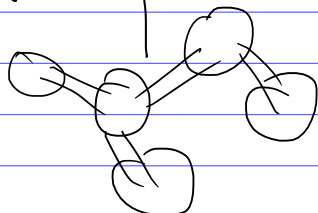
$\pi_1(X_i) = A_i$

$\pi_1(X_1 \cap X_2) = B_1$

Bass (1993)



"graph of spaces"



Bass some tree

Reminder

→ Graph of groups : graph Y

- $\forall v \in \mathcal{V}(Y)$, a group G_v
 $\gamma \in \mathcal{E}(Y)$, a group G_γ
 $\text{or } G_{\bar{\gamma}} = G_\gamma$
- injectors $G_v \xrightarrow{\gamma} G_{t(\gamma)}$
 $\pi_v \xrightarrow{\gamma} \pi_{t(\gamma)}$

Not: $G_\gamma \hookrightarrow G_{t(\gamma)}$
 $a \mapsto a^\gamma$

→ word (c, μ) : edge path $c = (\gamma_1, \dots, \gamma_n)$ $t(\gamma_i) = s(\gamma_{i+1})$
 $\gamma_i := \bar{\gamma}_i$
 $P_0 = s(\gamma_1)$

• $\mu = (x_0, \dots, x_n)$ $x_i \in G_{P_i} \forall i$

→ The definition of the fundamental group of a graph of groups:

$$F(G, Y) := \underbrace{*_{v \in \mathcal{V}(Y)} G_v}_{\text{free product}} \underbrace{*_{\gamma \in \mathcal{E}(Y)} G_\gamma}_{\text{free product}} / \left\langle \begin{array}{l} \bar{\gamma} \gamma \quad \forall \gamma \in \mathcal{E}(Y) \\ \gamma a^\gamma \gamma^{-1} (a\bar{\gamma})^{-1} \end{array} \right\rangle$$

Element of $F(G, Y)$ associated to the word (c, μ) :
 $|c, \mu| := x_0 \gamma_1 x_1 \gamma_2 \dots \gamma_n x_n \in F(G, Y)$

1st definition

Choose a base point $P_0 \in \mathcal{V}(Y)$

$$\pi_1(G, Y, P_0) = \left\langle |c, \mu| \mid c \text{ cycle based at } P_0 \right\rangle$$

$$\subseteq F(G, Y)$$

2nd definition

Choose a spanning tree T of Y

$$\pi_1(G, Y, T) = F(G, Y) / \left\langle \gamma \bar{\gamma} \mid \gamma \in \mathcal{E}(Y) \right\rangle$$

iteration of amalgamated free products of the vertex groups over the edge groups

Thm: $\pi \mid_{\pi_1(G, Y, P_0)} : \pi_1(G, Y, P_0) \xrightarrow{\sim} \pi_1(G, Y, T)$ is an isomorphism

Cor:
 • $\pi_1(G, Y, P_0)$ doesn't depend on the choice of P_0 .
 • $\pi_1(G, Y, T)$ doesn't depend on the choice of T .

3) Reduced words

(c, μ) word of type c : c edge path $y_1 - y_n$ based at P_0
 $\mu = (\mu_0, \dots, \mu_n)$
 $\mu_i \in P_i$ ($d(y_i) = P_i$)
 $P_i = t(y_i)$

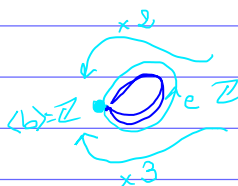
Def: (c, μ) is reduced if:

- either $n=0$ and $\mu_0 \neq 1$ ($(c, \mu) = (\emptyset, \mu_0)$)

- or $n > 0$ and $y_i = \overline{y_{i+1}} \Rightarrow \mu_i \notin G_{y_i}^{y_i} \subseteq G_{P_i}$

$$\left[\begin{array}{l} \text{Not: } \begin{array}{c} \xrightarrow{\mu_i} P_i \\ \uparrow \mu_i \\ G_{y_i} \end{array} \quad \begin{array}{c} G_{y_i} \xrightarrow{z_{y_i}} G_{P_i} \\ a \mapsto a^{y_i} \end{array} \\ \hline G_{y_i}^{y_i} := z_{y_i} [G_{y_i}] \end{array} \right]$$

Ex:



$$F(G, Y) = \langle b, x \mid b x^2 x^{-1} = b^3 \rangle$$

• $(c, \mu) = (c, \bar{c}), \left(b^{-3}, \left(\frac{b^3}{x^2}, 1 \right) \right)$ is reduced

$$|(c, \mu)| = b^{-3} t b^3 t^{-1}$$

$$\neq 1 \text{ in } F(G, Y)$$

• $(c, \mu) = (c, \bar{c}), \left(b^{-3}, \left(\frac{b^2}{x^2}, 1 \right) \right)$ is not reduced

$$\begin{aligned} |(c, \mu)| &= b^{-3} t b^2 t^{-1} \\ &= 1 \text{ in } F(G, Y). \end{aligned}$$

Theorem: If (c, γ) is reduced, then $|c, \gamma| \neq 1$ in $F(G, Y)$.

Cor 1: For every vertex p of Y , $G_p \hookrightarrow F(G, Y)$

Proof: For any $x \in G_p$, the word $(\emptyset, \underset{\pi}{x})$ is reduced

$\Rightarrow$ $|(\emptyset, x)| = x \neq 1$ in $F(G, Y)$ $\blacksquare$

Cor 2: If $\frac{\ell(c)}{(\equiv n)} \geq 1$, and (c, γ) is reduced,

then $|c, \gamma| \notin G_{\phi = \sigma(Y)}$

Proof otherwise $\exists x \in G_{\phi}$ st $|c, (\underbrace{x^{-1} \gamma_0, \gamma_1, \dots, \gamma_n}_{\text{length } n \geq 1})| = 1$

$$\begin{aligned} |c, \gamma| &= x \in G_{\phi} \\ x^{-1} \gamma_0 \gamma_1 \dots \gamma_n &= 1 \end{aligned}$$

$$|(\gamma_1, \dots, \gamma_n), (\underbrace{x^{-1} \gamma_0, \gamma_1, \dots, \gamma_n}_{\text{reduced}})|$$



Cor 3: Let T be a spanning tree of Y .

If (c, γ) is reduced and c is a closed path, then $|c, \gamma| \neq 1$ in $\pi_1(G, Y, \phi)$

Proof: $|c, \gamma| \neq 1$ in $\pi_1(G, Y, \phi)$
 $\wedge$
 $F(G, Y)$

$\Rightarrow |c, \gamma| \neq 1$ in $\pi_1(G, Y, T)$ $\blacksquare$

Idea: as for amalgamated free products, shrink the orbits of the vertex groups in a Cayley graph of $\pi_1(G, Y)$.

Previous theorem on normal forms $\Rightarrow$ the resulting graph is a tree.

Data:- a graph of groups (G, Y)

- a spanning tree T of Y

- an orientation A of Y (i.e. the subset of positive edges)

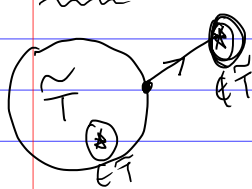
$$\pi := \pi_1(G, Y, T)$$

$$\text{Not: } \cdot e(y) = \begin{cases} 1 & \text{if } y \notin A \\ 0 & \text{otherwise} \end{cases}$$

$$\text{and } |y| = \text{the edge } e \in \{y, \bar{y}\} \cap A$$

$$g_y = \text{image of } y \text{ in } \pi_1(G, Y, T) = \begin{cases} y & \text{if } y \notin T \\ 1 & \text{otherwise} \end{cases}$$

Goal: build a graph $\tilde{X} = \tilde{X}(G, Y, T)$;



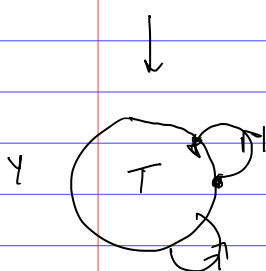
- an action $\pi \curvearrowright \tilde{X}$ s.t. $\pi \tilde{X} = Y$;

- sections $y \mapsto \tilde{y}$ such that

$$p \mapsto \tilde{p}$$

$$y \mapsto \tilde{y}$$

$$\alpha(\tilde{y}) = \overline{\sigma(y)} \quad \forall y \in A.$$



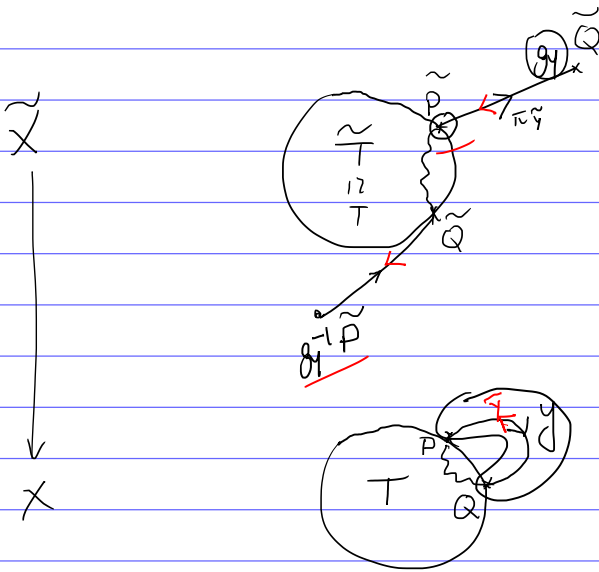
$$\text{and } \begin{cases} \forall p \in V(Y), \text{Stab}_\pi(\tilde{p}) = G_p \\ \forall y \in E(Y), \text{Stab}_\pi(\tilde{y}) = G_{|y|} \end{cases}$$

$\text{Stab}_\pi(\tilde{p}) := \pi_p^{-1} p$
 $G_p := \pi_p^{-1} p$
 $G_{|y|} := \pi_y^{-1} y$

The last condition implies that

$$\eta(\tilde{x}) = \frac{1}{\text{Per}(Y)} \pi / \pi \tilde{p}$$

$$\eta(\tilde{x}) = \frac{1}{y \in \mathcal{E}(Y)} \pi / \pi \tilde{y}$$



let $\cdot$ $\pi \tilde{y} = \pi \tilde{y}$
 $(y \geq 0)$ $\cdot$ $\sigma(\pi \tilde{y}) = g_y \tilde{p} = g_y \sigma(y)$
 $\cdot$ $t(\pi \tilde{y}) = (g_y) \tilde{Q} = (g_y) t(y)$

i.e.

$$\begin{cases} g \pi \tilde{y} = g \pi \tilde{y} \\ \sigma(g \pi \tilde{y}) = g g_y \sigma(y) \\ t(g \pi \tilde{y}) = g g_y^{1-e(y)} t(y) \end{cases}$$

$\forall g \in \pi$

Well defined : $g \pi \tilde{y} = g' \pi \tilde{y} \Leftrightarrow g^{-1} g' \in G_y^{(y)}$

If $\frac{e(y)}{|y|} = 0 \Rightarrow g^{-1} g' \in G_y^{-1} \leq G_{\alpha(y)}$

$\Rightarrow g' G_{\alpha(y)} = g G_{\alpha(y)}$
 and $g^{-1} g' \in G_y^{-1} = g_y G_y^{(y)} g_y^{-1} \leq g_y G_{\alpha(y)} g_y^{-1}$

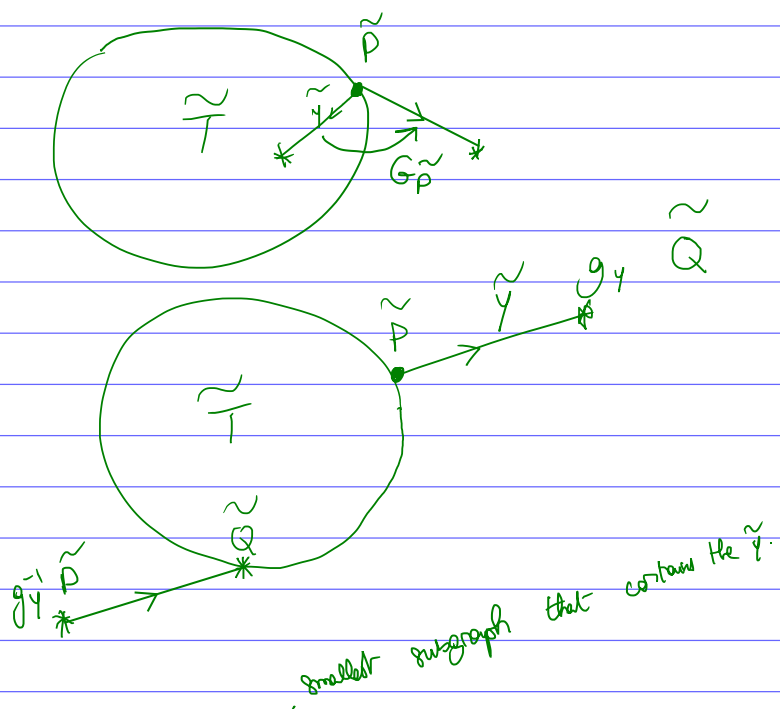
$\Rightarrow g' g_y G_{\alpha(y)} = g g_y G_{\alpha(y)}$

If $e(y) = 1$: same computation

Theorem: $\tilde{X}$ is a tree.

Proof:

- $\tilde{X}$ is connected: Denoting by
 $S: \{ G_P, P \in V(Y), g_Y, y \in E(Y) \}$ we
 has $\begin{cases} \pi = \langle S \rangle \\ s.\tilde{T} \cap \tilde{T} \neq \emptyset \quad \forall s \in S \end{cases}$



$\Rightarrow \tilde{X} = \bigcup_{g \in \kappa} g W$ is connected.

- $\tilde{X}$ has no circuit:

(APS) Let $(s_i \tilde{y}_i, \dots, s_n \tilde{y}_n)$ be a circuit without

backtracking in $\tilde{X}$. Not: $\begin{cases} g_i := g_{y_i} \\ e_i := e(y_i) \end{cases}$

or: $(y_1 \rightarrow y_n)$ circuit in Y . Not: $P_i = t(y_i)$.

$$t(s_i \tilde{y}_i) = \sigma(s_i \tilde{y}_i) \Rightarrow s_i g_i^{1-e_i} G_{P_i} = s_{i+1} g_{i+1}^{-e_{i+1}} G_{P_i}$$

i.e. $\Rightarrow s_{i+1} g_{i+1}^{-e_{i+1}} = s_i g_i^{1-e_i} \pi_i, \pi_i \in G_{P_i}$

i.e. $(q_i := s_i g_i^{-e_i}) \begin{cases} q_{i+1} = q_i g_i \pi_i \\ q_1 = q_n g_n \pi_n \end{cases} \quad \forall i < n$

$$\Rightarrow q_1 = q_n g_n \pi_n = q_{n-1} g_{n-1} \pi_{n-1} g_n \pi_n = q_1 g_1 \pi_1 g_2 \pi_2 \dots g_n \pi_n$$

$$\Rightarrow \underline{g_1 \pi_1 g_2 \pi_2 \dots g_n \pi_n = 1}$$

Claim: The word $(y_1 \rightarrow y_n), (1, R_1, \dots, R_n)$ is reduced.

Proof of the claim: if $y_{i+1} = \overline{y_i}$, show that

$$\pi_i \notin G_{y_i}^{y_i}$$

$$y_{i+1} = \overline{y_i} \Rightarrow \begin{cases} e_{i+1} = 1 - e_i \\ g_{i+1} = g_i^{-1} \end{cases}$$

$$\text{and } R_i = g_i^{e_i-1} s_i^{-1} s_{i+1}^{-e_{i+1}} = g_i^{e_i-1} s_i^{-1} s_{i+1} g_i^{1-e_i}$$

$$\begin{aligned} & \in G_{y_i}^{y_i} \\ & s_i^{-1} s_{i+1} \in g_i^{1-e_i} G_{y_i}^{y_i} g_i^{-1} \\ & = G_{\overline{y_i}}^{\overline{y_i}} \\ & = \widetilde{\pi_{y_i}} \end{aligned}$$

thus $\overline{s_{i+1} \tilde{y}_{i+1}} = s_i \tilde{y}_i$, which contradicts

the fact that the circuit is reduced

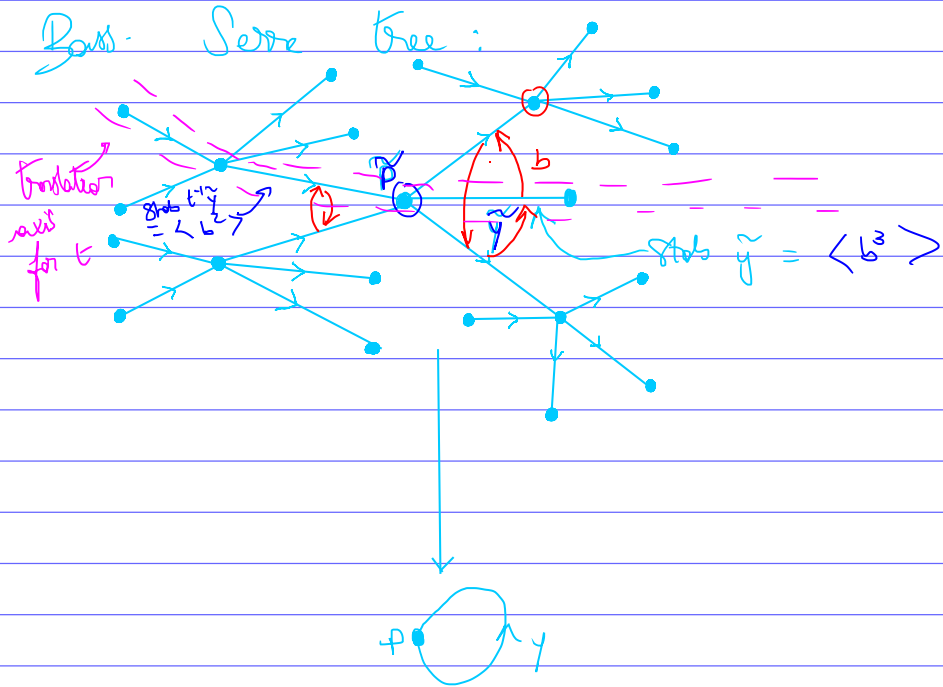
Example :



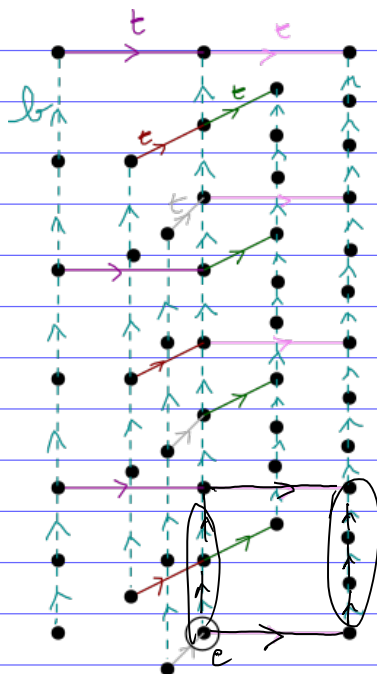
The diagram shows a point p on a surface. A loop γ encircles p . Below the loop, a path labeled x_3 is indicated.

$$\langle 4t \mid t b^2 t^{-1} = b^3 \rangle$$

Pass - Serve Tree:



This tree is the Cayley graph of $BS(2,3)$
all of whose $\langle 6 \rangle$ -orbits have been
shown!



The fact that the quotient graph is a tree results from the theorem on normal forms.

5) Structure theorem

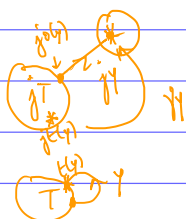
Let G be a group acting on a connected graph X .

Goal: if X is a tree, then G is the fundamental group of a graph of groups $(G, \mathcal{G}(X))$.

Construction of the graph of groups:

- $Y = G \backslash X$, T spanning tree of Y
- $j: T \rightarrow X$ a lift of T

Extended to Y as follows: $y \in A \setminus \mathcal{E}(T)$



$$\Rightarrow \exists! j_y \in \mathcal{E}(X) \text{ st } \begin{cases} G \cdot j_y = y \\ \sigma(j_y) \in T \end{cases}$$

$$t(j_y) = y_y j_T(y)$$

Extend j to $\mathcal{E}(Y)$ by setting $j_y = j_y^{-1}$

$$\text{And let } j_{\bar{y}} = \overline{j_y}$$

$$\text{On path: } \begin{cases} \sigma(j_y) = j_y^{-e(y)} j_T(y) \\ t(j_y) = j_y^{1-e(y)} j_T(y) \end{cases}$$

Now define the vertex and edge groups:

- $\forall p \in v(Y)$, $G_p = \text{Stab}(j_p)$
- $\forall y \in \mathcal{E}(Y)$, $G_y = \text{Stab}(j_y)$

And the inclusion: $\forall y \in \mathcal{E}(Y)$

$$\begin{aligned} G_y &\hookrightarrow G_{j_y} = \text{Stab}(j_y) \\ &= \text{Stab}(j_y^{e_y-1} j_T(y)) \\ &= j_y^{e_y-1} (\text{Stab}(j_T(y))) j_y^{1-e_y} \\ &\hookrightarrow j_y^{e_y-1} a j_y^{1-e_y} \end{aligned}$$

$$\text{Let } \varphi: \pi_1(G, \gamma, T) \rightarrow G$$

$$a \in G_D \mapsto a$$

$$g\gamma \mapsto \gamma\gamma$$

• φ is well-defined: $\gamma \xrightarrow{\gamma} \gamma\gamma$ $\gamma \in \Gamma$

$$\Rightarrow \forall a \in G_D: \varphi(g\gamma) \varphi(a\gamma) \varphi(g\gamma^{-1})$$

$$= \varphi(g\gamma) \varphi(g\gamma^{-1} a\gamma) \varphi(g\gamma^{-1})$$

$$= \gamma\gamma (\gamma\gamma^{-1} a\gamma) \gamma\gamma^{-1}$$

$$= a\gamma$$

$$= \varphi(a\gamma)$$

• Let $\tilde{X}$ be the Bass-Serre tree of $\pi_1(G, \gamma, T)$

$$\text{and let } \psi: \tilde{X} \rightarrow X$$

$$g\tilde{p} \mapsto \varphi(g) j\tilde{p}$$

$$g\tilde{y} \mapsto \varphi(g) j\tilde{y}$$

Goal:

$\Rightarrow \varphi$ is surjective: let $W = j\tilde{Y}$ $\Gamma = \text{Im } \varphi$
show that $\Gamma \cdot W = X$

smallest subgraph of X that contains $j\tilde{Y}$

if this is the case: let $g \in G$,
if $p \in W$ ($\Rightarrow j\tilde{p} \in j\tilde{T}$).

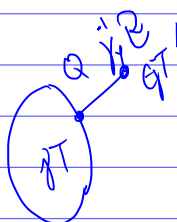
Then $\exists \gamma \in \Gamma$, $g j\tilde{p} = \gamma Q$

for some $Q \in W$.

$$Q \in j\tilde{T} \Rightarrow j\tilde{p} = Q$$

$$\Rightarrow g\gamma \in G_D$$

$$\Rightarrow g \in \Gamma$$

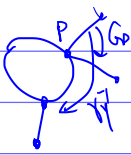


$Q \notin j\tilde{T} \Rightarrow \exists \gamma, \gamma\gamma Q \in j\tilde{T}$

$$\Rightarrow p = \gamma\gamma Q$$

$$\text{and } \gamma\gamma^{-1} \gamma^{-1} g \in G_D$$

$$\Rightarrow g \in \Gamma$$



$$\forall \gamma \in \Gamma, \quad \gamma W \cap W \neq \emptyset$$

$$\gamma = \gamma_\gamma$$

$\Rightarrow \Gamma \cdot W$ is a connected subgraph of X

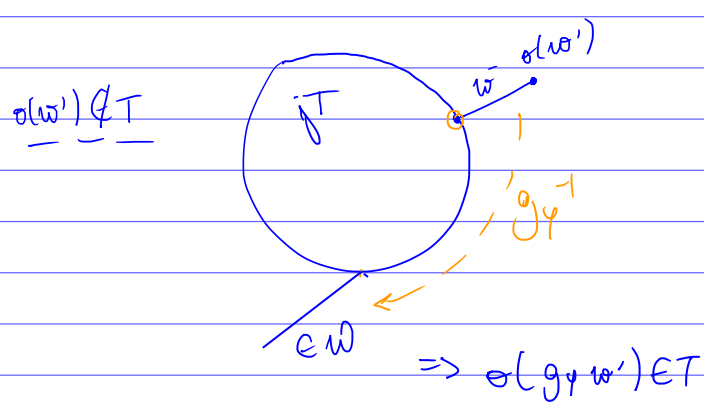
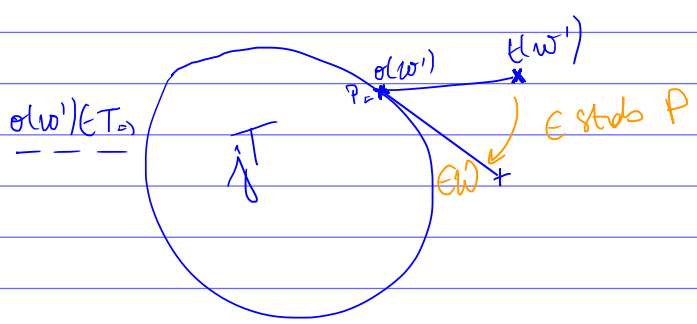
Assume $\exists$ edge w st $\sigma w \in \Gamma \cdot W$
and show that $w \in \Gamma \cdot W$.



$$\Rightarrow \exists \gamma \in \Gamma, \quad \gamma \circ w \in W$$

$$w' := \gamma w$$

if $w' \notin \gamma T$:

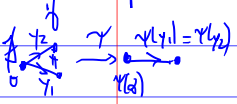


so $\Gamma \cdot W = X \Rightarrow \varphi$ is surjective

$\leadsto \varphi$ is surjective;

$\leadsto \varphi$ is locally injective: $x_1, x_2 \geq 0$

$\varphi: G_1 \rightarrow G_2$
graph morphism
 φ loc. injective



$$\varphi(g_1 \tilde{\gamma}_1) = \varphi(g_2 \tilde{\gamma}_2)$$

$$\text{wt } \sigma(g_1 \tilde{\gamma}_1) = \sigma(g_2 \tilde{\gamma}_2)$$

$$\Rightarrow \varphi(g_1) \tilde{\gamma}_1 = \varphi(g_2) \tilde{\gamma}_2$$

$$\Rightarrow \begin{cases} \gamma_1 = \gamma_2 := \gamma \\ \varphi(g_2^{-1} g_1) \tilde{\gamma}_1 = \tilde{\gamma}_1 \end{cases}$$

$$\text{and } \sigma(g_1 \tilde{\gamma}_1) = \sigma(g_2 \tilde{\gamma}_2) \Rightarrow g_1^{-1} g_2 \in G_{\sigma(\gamma)}$$

$$\Rightarrow \varphi(g_1^{-1} g_2) = \tilde{g}_1 \tilde{g}_2$$

Thus $g_1^{-1} g_2 \tilde{\gamma} = \tilde{\gamma}$

$\Rightarrow g_1 \tilde{\gamma}_1 = g_2 \tilde{\gamma}_2$

Theorem: TFAE

(1) X is a tree;

(2) $\psi: \tilde{X}(G, \gamma, T) \rightarrow X$ is an isomorphism;

(3) $\varphi: \pi_1(G, \gamma, T) \rightarrow G$ is an isomorphism.

Proof

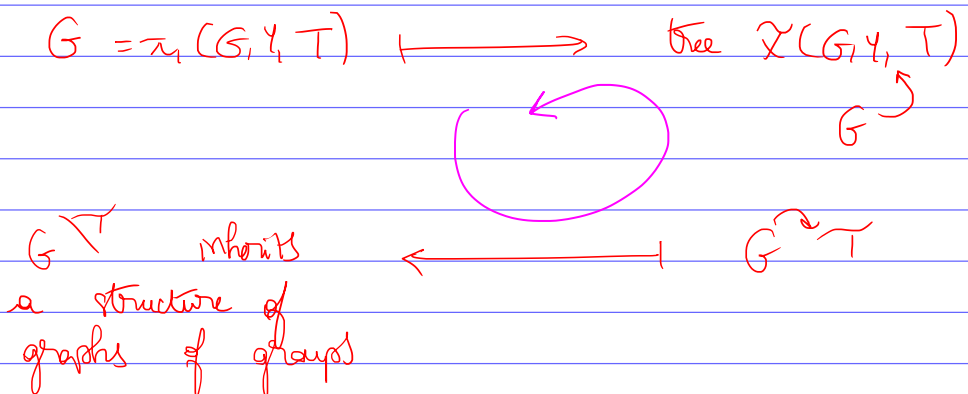
(1) $\Rightarrow$ (2) ψ locally injective + surjective in a tree $\Rightarrow \psi$ isomorphism;

(2) $\Rightarrow$ (1) $\tilde{X}$ is a tree by the previous thm;

(3) $\Rightarrow$ (2) clear;

(2) $\Rightarrow$ (3) let $g \in G$ or $\varphi(g) = 1$.
For every P , $gP = \tilde{P}$.
In particular, $g \in G_P$
 $\Rightarrow \varphi(g) = g = 1$

To summarize:



III / Some applications

1) Kurosh's theorem

Theorem: Let $G = \ast_{i \in I} G_i$ and let H be

a subgroup of G . Then there exists

- a set J ;
- a free subgroup F of G ;
- $(g_i)_{i \in J} \in G^J$
- $\forall_{i \in J} H_i \leq G_i$ for some $i_j \in I$

$$H = F \ast \ast_{i \in J} g_i H_i g_i^{-1} \quad \left| \quad \pi_1 \left(\begin{array}{c} G_i \cdot G_i \\ \cdot \cdot \cdot \\ H_i \cdot H_i \end{array} \right) \right.$$

Proof: • H acts on the Bass-Serre tree T of G with trivial edge stabilizers.

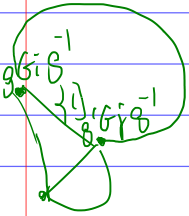
- The orbits of vertices are $\coprod_{i \in I} H \backslash G / G_i$

$\Rightarrow$ for every $i \in I$, let J_i be a set of representatives of $H \backslash G / G_i$, and set

$$J = \coprod_{i \in I} J_i$$

- for every $g_i \in J_i$:

$$\begin{aligned} \text{Stab}_H (g_i G_i) &= H \cap \text{Stab}_G g_i G_i \\ &= H \cap g_i G_i g_i^{-1} \end{aligned}$$



- the rank of F is the rank of the fundamental group of $H \backslash T$.

Example: Assume that I is finite, and that $\# H \backslash G / G_i < \infty \quad \forall i$, and that $[G:H] < \infty$

Then the quotient graph $H \backslash T$ has

$$\delta := \sum_i \# H \backslash G / G_i \text{ vertices}$$

$$\alpha := \sum_{\substack{\text{edges of} \\ T}} \# H \backslash G / \{1\} = (|I|-1) \text{ edges} \quad [G:H]$$

So by Euler's formula:

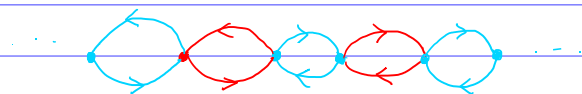
$$\delta - \alpha + (\text{rk } F + 1) = 2$$

$$\Rightarrow \text{rk}(F) = 1 + (|I|-1)[G:H] - \sum_i \# H \backslash G / G_i$$

2) Finite graphs of finite groups

Theorem: Let G be the fundamental group of a finite graph of finite groups. Then G is virtually free.

Example: $\mathbb{Z}/2\mathbb{Z} * \mathbb{Z}/2\mathbb{Z}$



Sketch of the proof: Find a finite set X and a morphism $\varphi: G \rightarrow \mathcal{G}(X)$ such that $\ker \varphi$ intersects trivially every vertex group.

$\mathcal{G}(X) \text{ finite} \Rightarrow \ker \varphi \text{ is free};$
 $\mathcal{G}(X) \text{ finite} \Rightarrow \ker \varphi \text{ has finite index in } G.$

Take $\#X = \prod_{G_i \text{ vertex group}} \#G_i.$

Old: For every finite group F and any finite set S , there exists a F -free action on S iff In this case, it is unique. (i.e. the induced representations $F \rightarrow \mathcal{G}(X)$ are conjugate)

2) If $F' \leq F$ and $\#F' \mid \#S$, any free action of F' on S extends to a free action of F on X .

Construction of φ : fix a spanning tree T .

$\rightarrow$ define φ inductively on the vertex groups so that

$\varphi_{v(e)}|_{G_e} = \varphi_{v(e')}|_{G_e} \quad \forall e \in T$ using the 2nd observation;

$\rightarrow \varphi_{v(e)}|_{G_e}$ and $\varphi_{v(e')}|_{G_e}$ are conjugate by $\sigma_e \in \mathcal{G}(X)$ for every $e \notin T$ (1st observation).

$\Rightarrow$ defines $\tilde{\varphi}: G \rightarrow \mathcal{G}(X)$ s.t. $\tilde{\varphi}|_{G_v} = \varphi|_{G_v}$, $te \mapsto \sigma_e$ $\forall e \notin T$

3) Grushko's theorem

Theorem: Let A, B be finitely generated groups.
Then $\text{rk}(A * B) = \text{rk}(A) + \text{rk}(B)$.

Sketch of the proof:

$\leq$ is clear.

$\geq$ • Let $n = \text{rk}(A * B)$. Let $(\gamma_1, \dots, \gamma_n)$ be a generating set of $A * B$, written in their normal forms.

• Let $F = F(\gamma_1, \dots, \gamma_n)$

$$F = F(A) * F(B)$$

$$\varphi(F_A) = A$$

$$\varphi(F_B) = B$$

$$G = F^{A_1}(\text{letters in } \gamma_i \in A) * F(\text{letters in } \gamma_i \in B)$$

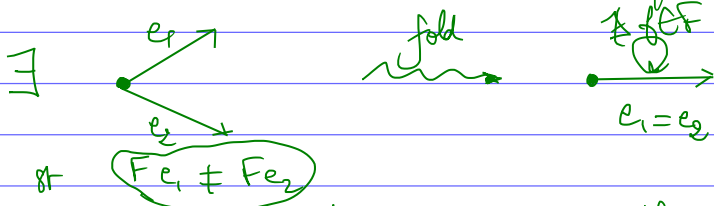
• Let T_0 be the Cayley graph of G w.r.t this generating set.

• Let $\hat{T}$ be the Bass-Serre tree of $A * B$.

We will build a tree T endowed with
 $\rightarrow$ (i) an F -action with trivial edge stabilizers;
 $\rightarrow$ (ii) surjective F -equivariant morphisms $T_0 \rightarrow T \rightarrow \hat{T}$
 st $F \backslash T = A * B \backslash \hat{T}$

The set of trees satisfying (i) contains T_0 and is inductive [for $T_1 \leq T_2 \Leftrightarrow \exists T_0 \rightarrow T_1 \rightarrow T_2 \rightarrow \hat{T}$].
 let us show that a maximal element T is suitable.

If T has more than two F -orbits of edges:



which contradicts the maximality of T .

Thus $F = F_1 * F_2$ with $\begin{cases} \varphi(F_1) \in A \\ \varphi(F_2) \in B \end{cases}$

$$\Rightarrow \begin{cases} A = \varphi(F_1) \\ B = \varphi(F_2) \end{cases} \Rightarrow \begin{cases} \text{rk}(A) \leq \text{rk } F_1 \\ \text{rk}(B) \leq \text{rk } F_2 \\ n = \text{rk } F = \text{rk } F_1 + \text{rk } F_2 \end{cases}$$

$$\Rightarrow \text{rk}(A) + \text{rk}(B) \leq n. \quad \square$$

Corollary: For any f.g. group G , there exists a unique $r \geq 0$ and a unique decomposition
 $G = G_1 * G_2 \cdots * G_n * F$ (up to permutation and conjugation of the G_i 's)
 $*$

- F is a free group of rank r ;
- G_i is non-trivial, $\neq \mathbb{Z}$, and G_i is freely indecomposable $\forall G_i \in \mathcal{G}(G, r)$.

Proof: Existence: Grushko's theorem.

Uniqueness: Kurosh's theorem.